#### **SESSION 1999**

#### HOUSE BILL 1520\*

Short Title: Restraints in Facilities.

Sponsors: Representatives Insko, Crawford, Goodwin (Primary Sponsors), Alexander, Cansler, Earle, Nye; and Luebke.

Referred to: Select Committee on Health Care Delivery.

#### May 15, 2000

1		A BILL TO BE ENTITLED
2	AN ACT TO REG	ULATE THE USE OF RESTRAINTS, SECLUSION, AND OTHER
3	PROCEDURES	IN CERTAIN FACILITIES, TO REQUIRE THE REPORTING OF
4	DEATHS IN	CERTAIN FACILITIES AND IMPOSING PENALTIES FOR
5	FAILURE TO	REPORT, AND TO AUTHORIZE THE GOVERNOR'S
6	ADVOCACY	COUNCIL FOR PERSONS WITH DISABILITIES TO HAVE
7	ACCESS TO IN	FORMATION ABOUT THE DEATHS.
8	The General Assem	bly of North Carolina enacts:
9	Section 1.(a)	G.S. 122C-3 is amended by adding the following new definitions in
10	the appropriate alph	abetical order to read:
11	"§ 122C-3. Definiti	ons.
12		
13	<u>(13)</u> <u>'D</u>	rug used as a restraint' is a medication used to control behavior or to
14	res	strict the patient's freedom of movement and is not a standard
15	tre	atment for the patient's medical or psychiatric condition.
16		
17	<u>(18a)</u> <u>'Is</u>	olation time-out' means the removal of a client to a separate unlocked
18	<u>ro</u>	om or area from which the client is physically prevented from leaving.
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(Public)

1	<u>(32a)</u>	<u>Protective device' means intervention which provides support for or</u>
2		enhances the safety of a client. A 'protective behavioral device'
3		enhances the safety of a self-injurious client. A 'protective medical
4		device' provides support for a medically fragile client.
5	<u>(32b</u> )	<u>(Restraint' means the limitation of an individual's freedom of movement.</u> )
6		In accordance with G.S. 122C-60, 'restraint' includes mechanical
7		restraint and physical restraint, as follows:
8		a. <u>'Mechanical restraint' is the restraining of a client with the intent</u>
9		of controlling the client's behavior with mechanical devices.
10		Mechanical devices include cuffs, ankle straps, sheets, or
11		restraining shirts, but not protective devices.
12		b. 'Physical restraint' means the use of physical holds to limit an
13		individual's movements except those holds required for necessary
14		medical procedures or gentle instructional or physical guiding.
15		c. 'Planned restrictive intervention' means the use of physical
16		restraint, mechanical restraint, protective behavioral device,
17		seclusion, or isolation time-out as part of a comprehensive
18		treatment plan.
19		<u>'Seclusion' means the isolation of a client in a separate, locked room.</u> "
20		on 1.(b) G.S. 122C-60 reads as rewritten:
21	"§ 122C-60. U	Jse of <del>physical restraints or seclusion.</del> <u>restraints, seclusion, and other</u>
22		edures.
23		ical restraint or seclusion of a client shall be employed only when there is
24		ser of abuse or injury to himself or others, when substantial property
25		urring, or when the restraint or seclusion is necessary as a measure of
26		tment. All instances of restraint or seclusion and the detailed reasons for
27		all be documented in the client's record. Each client who is restrained or
28		be observed frequently, and a written notation of the observation shall be
29	made in the clie	
30		Commission may adopt rules to implement this section.
31	<u>(a)</u> <u>Exce</u>	pt as provided in subsection (b) of this section, a facility may use physical
32		nanical restraint, protective behavioral device, isolation time-out, or
33	seclusion of a c	lient only when there is imminent danger of harm to the client or others.
34	<u>(b)</u> <u>A fa</u>	cility may use planned restrictive intervention when all of the following
35	are true:	
36	<u>(1)</u>	There is current documented evidence based on the client's condition
37		that includes medical and behavioral assessments, which clearly
38		substantiates that serious physical harm to self or others would occur if
39		the planned restrictive intervention were not employed.
40	<u>(2)</u>	The planned restrictive intervention is used as a last resort when less
41		restrictive alternatives have failed.
42	<u>(3)</u>	The planned restrictive intervention has been reviewed, approved, and
43		signed by the physician or licensed psychologist prior to
15		

1	implementation by a treatment or planning team. The treatment or
2	planning team shall include all of the following:
3	a. <u>A physician.</u>
4	b. A licensed psychologist or a licensed psychological associate.
5	c. The client or the client's legally responsible person.
6	d. A client advocate chosen by the client. If the client refuses to
7	choose a client advocate, then the client advocate may be
8	appointed by the facility.
9	(4) The client or the client's legally responsible person has consented to the
10	plan in writing. If written consent of the legally responsible person
11	cannot be obtained prior to implementation of the procedure, then
12	witnessed verbal consent shall be valid until written consent is obtained
13	but not longer than 30 days. If a client refuses to consent to the plan,
14	then planned restrictive intervention may be used despite refusal by the
15	client or the legally responsible person if the use is in accordance with
16	G.S. 122C-57 and this section.
17	(5) The plan for use of planned restrictive intervention shall expire on the
18	90th day after its initial adoption and every 90 days thereafter unless an
19	external review of the planned restrictive intervention plan is conducted
20	within the 90-day period and the review finds that continued use of the
21	plan or alternative strategies is appropriate. As used in this subdivision,
22	'external review' is a review conducted by one or more persons or
23	entities knowledgeable of the client population and facility procedures
24	and not employed by the facility.
25	Within 15 minutes of initiation, each use of planned restrictive intervention shall be
26	approved by a professional qualified to assess the appropriateness of the planned
27	restrictive intervention. The facility shall review regularly the use of planned restrictive
28	intervention to assess its appropriateness and effectiveness.
29	(c) The facility shall employ the least restrictive method of restraint, isolation
30	time-out, protective behavioral device, or seclusion applicable to the particular situation.
31	The facility shall end the restraint, isolation time-out, protective behavioral device,
32	seclusion, or planned restrictive intervention when the client is no longer a danger to self
33	or others.
34	(d) <u>A facility shall obtain the written order of a physician or licensed psychologist</u>
35	within one hour of initiating the use of physical restraint, mechanical restraint, protective
36	behavioral device, seclusion, or isolation time-out. The order must specify duration and
37	the circumstances under which the physical restraint, mechanical restraint, protective
38	behavioral device, seclusion, or isolation time-out may be used. An order for the use of
39 40	restraint, protective behavioral device, seclusion, or isolation time-out shall not be issued
40	as a standing order or on an as needed basis. The use of planned restrictive intervention
41 42	as authorized under subsection (b) of this section meets the written order requirements of
42	this subsection.

1	(e) A fa	cility shall ensure that each client in physical restraint, mechanical
2	• •	sion, or isolation time-out is observed continuously by facility staff. Staff
3		iduct audio-video observation of a client shall not engage in any activity
4		tinuous observation of the client. A facility shall ensure that a physical
5		each client in physical restraint, mechanical restraint, seclusion, or
6		but is conducted by a physician, registered nurse, physician assistant, or
7		er within one hour of the initiation of the procedure.
8	-	cility shall not employ restraint and seclusion simultaneously.
9		ig used as a restraint:
10	$\frac{1}{(1)}$	Shall not be employed for the purpose of discipline, punishment, staff
11	<del>~~/</del>	convenience, or as a substitute for adequate staffing, and
12	(2)	Shall not be employed unless required to treat a medical condition.
13	<del>、 /</del>	cility shall ensure that the following procedures are implemented during
14		aint, protective behavioral device, seclusion, or isolation time-out:
15	(1)	The client's vital indicators are monitored to assure that the client is
16	<u>+</u>	conscious, breathing freely, free of physical pain or harm, verbally
17		responsive, and motorically in control.
18	<u>(2)</u>	If there is apparent loss or clouding of the client's consciousness or
19		difficulty or interruption in the client's breathing, then the facility shall
20		discontinue the restraints, protective behavioral device, isolation time-
21		out or seclusion, and shall immediately seek medical services for the
22		client.
23	<u>(i)</u> <u>Facil</u>	ities shall implement policies and practices that emphasize the use of
24	alternatives to	restraint, protective device, seclusion, and isolation time-out. Restraints,
25	protective devi	ce, seclusion, and isolation time-out may be employed only by staff who
26	have been tra	ined and have demonstrated competence in the proper use of and
27	alternatives to	these procedures. Facilities shall ensure that staff authorized to employ
28	and terminate r	estraint, protective device, seclusion, and isolation time-out are retrained
29	and have demor	nstrated competence at least annually.
30	<u>(j)</u> <u>Facil</u>	ities shall document each instance of the use of restraint, protective
31		ce, seclusion, and isolation time-out in the client's record. Documentation
32	shall include:	
33	<u>(1)</u>	The type of restraint, protective behavioral device, isolation time-out, or
34		seclusion used.
35	<u>(2)</u>	Reasons why the procedure was used, including a description of the
36		event that prompted use.
37	<u>(3)</u>	The time and duration of the procedure.
38	<u>(4)</u>	Use of less restrictive alternatives.
39	<u>(5)</u>	Planning, debriefing, and internal monitoring conducted to eliminate or
40		reduce the probability of incidents that would require use of these
41		procedures.
42	<u>(6)</u>	All assessments, physical examinations, other safety checks, and
43		continuous observations of the client employed during these procedures.

1	(7)	Informed involvement of the client and the client's legally regrangible
1 2	<u>(7)</u>	<u>Informed involvement of the client and the client's legally responsible</u> person, if applicable, in planning, debriefing, and assessment
23		<u>concerning these procedures and their alternatives.</u>
4	(k) Facili	ties shall collect and analyze data on the use of restraint, planned
4 5		vention, protective behavioral device, isolation time-out, and seclusion.
5 6		
7		reflect for each incidence, the type of procedure used, the length of time
8	· ·	natives considered or employed, and the effectiveness of each procedure
8 9		nployed. Facilities shall collect and analyze the data on a quarterly basis ctiveness, determine trends, and take corrective action where necessary.
9 10		nake the data available to the Secretary upon request.
10		ndividual or entity that (i) provides services to individuals who receive
11		facility, (ii) charges the facility or the individual a fee for the services
12		iii) is not licensed under Article 2 of this Chapter and not excluded from
13		G.S. 122C-22, shall comply with the requirements of this section. An
14		entity required to comply with this section shall notify the facility
16		on the death of an individual receiving services from the individual or
17	• •	otification shall include the circumstances of the death known to the
18	individual or en	
19		Commission shall adopt rules to implement this section. Rules adopted by
20		shall address the following:
21	(1)	Requirements for the external review of planned restrictive
22	<u>\/</u>	interventions on a regular basis to assess appropriateness and
23		effectiveness.
24	<u>(2)</u>	Qualifications necessary for professionals that assess the
25	<u></u>	appropriateness of the planned restrictive intervention.
26	<u>(3)</u>	Staff training and competence in:
27		a. <u>The use of positive behavioral supports.</u>
28		b. Communication strategies for defusing and de-escalating
29		potentially dangerous behavior.
30		c. <u>Monitoring vital indicators.</u>
31		<ul> <li><u>C.</u> Monitoring vital indicators.</li> <li><u>Administration of CPR.</u></li> <li><u>Debriefing with client and staff.</u></li> <li><u>Methods for determining staff competence, including</u></li> </ul>
32		e. Debriefing with client and staff.
33		<u>f.</u> <u>Methods for determining staff competence, including</u>
34		qualifications of trainers and training curricula.
35		g. Other areas designed to ensure the safe and appropriate use of
36		restraints, protective devices, isolation time-out, and seclusion.
37	<u>(4)</u>	Time limits on and renewal of:
38		<u>a.</u> <u>Written orders for the use of restraint, protective devices,</u>
39		isolation time-out, and seclusion, and
40		b. <u>Reauthorization of planned restrictive intervention by a treatment</u>
41		or planning team.
42	<u>(5)</u>	Time frames for physical assessment of a client who is in restraint,
43		protective behavioral device, isolation time-out, or seclusion.
	<u>(5)</u>	
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#### Collection, analysis, and use of data by facilities pursuant to subsection 1 (6) 2 (k) of this section. 3 (7)Any other matters relating to the use of restraints, protective devices, 4 isolation time-out, and seclusion of clients. 5 The Department may investigate complaints and inspect a facility at any time (n) 6 to ensure compliance with this section." 7 Section 2.(a) G.S. 131D-10.2 is amended by adding the following new definitions in the appropriate alphabetical order to read: 8 "§ 131D-10.2. Definitions. 9 10 . . . 'Drug used as a restraint' is a medication used to control behavior or to 11 (7a) restrict the child's freedom of movement and is not a standard treatment 12 for the child's medical or psychiatric condition. 13 14 15 (10a) 'Physical restraint' means physically holding a child who is at imminent risk of harm to self or others until the child is calm. 16 17 . . . 18 (14)'Time-out' means the removal of a child to a separate unlocked room or area from which a child is not physically prevented from leaving." 19 20 Section 2.(b) Article 1A of Chapter 131D of the General Statutes is amended by 21 adding the following new section to read: "§ 131D-10.5A. Use of restraints and time-out in residential child-care facilities. 22 23 A residential child-care facility may employ physical restraint and time-out. A (a) 24 drug used as a restraint: 25 (1)Shall not be employed for the purpose of discipline, punishment, staff convenience, or as a substitute for adequate staffing, and 26 27 Shall not be employed unless required to treat a medical condition. (2)A residential child-care facility may employ physical restraint of a child only 28 (b)when there is imminent risk of harm to the child or others. In employing physical 29 restraint the facility shall use the least restrictive method of physical restraint applicable 30 to a particular situation and the facility shall end the physical restraint when there is no 31 longer imminent risk of harm to the child or others. Before employing physical restraint 32 the facility shall take into consideration the medical condition of the child and any 33 medications the child may be taking. 34 The residential child-care facility shall record in an incident log and shall 35 (c) document in the child's record all instances of physical restraint and the detailed reasons 36 for the use of physical restraint by the facility. Documentation of instances of physical 37 restraint shall include all of the following: 38 The type of physical restraint used. 39 (1)The time and duration of the physical restraint. 40 (2)Less restrictive alternatives to the physical restraint that were 41 (3) 42 considered.

1 2	(	<u>(4)</u>	Evidence of planning and debriefing to reduce the probability of incidents that would require use of physical restraint.
3	(d) ]	During	g the entire period of time that a child is under physical restraint in a
4			-care facility, the facility shall ensure that the child is observed
5			facility staff. The facility shall include in the child's record a notation of
6	the observa		
7			al restraint of a child in a residential child-care facility may be employed
8		-	o have been trained and have demonstrated competence in the safe and
9			of physical restraints, the alternatives, and techniques to identify and
10			emergency situations. Training shall also include monitoring of vital
11			nistration of CPR, and debriefing with staff and the child restrained. All
12			restraint shall be trained and demonstrate competence annually.
13	-		dential child-care facility may use time-out only if the child in time-out
14	is within h	earing	and visual distance of staff and the length of time-out is appropriate to
15	the child's	age ar	<u>id development.</u>
16	<u>(g)</u>	The C	ommission shall adopt rules on the use of physical restraint and time-out
17			nild-care facilities and shall establish personnel requirements of staff
18			se facilities."
19			n 3.(a) G.S. 131D-2 is amended by adding the following new
20			he appropriate alphabetical order to read:
21			nsing of adult care homes for the aged and disabled.
22	(a) [	The fo	llowing definitions will apply in the interpretation of this section:
23		•••	
24	<u>(</u>	<u>(1e)</u>	<u>'Chemical restraint' means a psychopharmacologic drug that is used for</u>
25		(1)	discipline or convenience and not required to treat medical symptoms.
26	(	<del>(1e)</del>	'Compensatory agent' means a spouse, relative, or other caretaker who
27			lives with a resident and provides care to a resident.
28		···· (11-)	"Destroint means the neglistic of an individually freedom of
29 20	<u>(</u>		'Restraint' means the restriction of an individual's freedom of
30			movement. 'Restraint' includes physical holds and physical restraints,
31 32			as follows:
32 33			a. <u>'Physical hold' means physically holding an individual to limit</u> the individual's movements except when required for necessary
33 34			medical procedures or gentle instructions or physical guiding.
34 35			<u>b.</u> <u>'Physical restraint' means the application of a physical or</u>
36			mechanical device attached to or adjacent to the resident's body
37			that the resident cannot remove easily which restricts the
38			resident's freedom of movement or normal access to the
39			resident's body."
40	ļ	Sectio	n 3.(b) Article 1 of Chapter 131D of the General Statutes is amended by
41			ving new section to read:
42	•		e of restraint.
	22		

1	(a) Adult care homes may use restraints only when the resident has medical
2	symptoms that warrant the use of restraints, and when alternatives to restraints have
3	failed. An adult care home shall not use restraints for the purpose of discipline or
4	convenience. When using restraints, the facility shall use the least restrictive restraint
5	that provides safety. Adult care homes shall develop and implement policies and
6	procedures in the use of alternatives to restraints and in the care of residents who are
7	restrained. The policies and procedures shall include:
8	(1) The implementation of a systemic and gradual process for reducing
9	physical restraint time by the use of alternatives.
10	(2) Development of an assessment and care plan for each resident with
11	medical symptoms that warrant the use of restraints. Except in
12	emergency situations, a resident shall not be restrained until the
13	assessment and care plan have been developed.
14	(3) <u>A process for providing residents information that the resident's right to</u>
15	participate in the resident's care and treatment includes the right to
16	accept or refuse physical restraint. Information shall enable the resident
17	or the resident's representative to make an informed choice about the
18	use of restraints, including negative outcomes, benefits, and alternatives
19 20	to restraints. If the resident is incapable of making decisions, the
20	information shall be provided to the resident's representative. A
21 22	resident's representative shall not assent to the use of restraints for
22	discipline or staff convenience or when the restraint is not necessary to treat the resident's medical symptoms.
23 24	(4) Other policies and procedures pertaining to the use of restraints and
24 25	alternatives to restraints necessary to comply with rules adopted by the
23 26	Medical Care Commission.
20 27	(b) Except in emergency situations where there is risk of harm to the resident or
28	others, adult care homes shall not use physical restraints without a written order from a
29	physician. The order shall specify the medical need for the restraint, the type of physical
30	restraint to be used, the circumstances under which the restraint may be used, and the
31	time intervals the restraint must be checked and removed. Adult care homes may employ
32	physical holds of a resident only in an emergency where there is risk of harm to the
33	resident or others. In emergency situations, adult care homes may use restraints for not
34	longer than one hour until a physician is contacted or the resident is transferred to a
35	medical facility.
36	(c) Adult care homes shall record in an incident log and shall document in the
37	resident's record all instances of restraints employed and the detailed reasons for the use
38	of restraints. Documentation of instances of restraints shall include all of the following:
39	(1) Medical symptoms warranting the use of restraint.
40	$(2) \qquad \frac{\text{The type of restraint used.}}{\text{The type of restraint used.}}$
41	(3) <u>The time and duration of the restraint.</u>
42	(4) <u>Alternatives to restraint that were provided and the resident's response.</u>
43	(5) <u>The resident's behaviors and care provided during the use of restraints.</u>

1	(6) Evidence of planning by the adult care home to reduce the probability of
2	incidents that would require the use of restraint.
3	(d) <u>Restraints may be employed only by staff who have been trained and validated</u>
4	for competence by a registered nurse in the proper use of restraints, alternatives to
5	restraints, and techniques to identify and defuse potential emergency situations. Adult
6	care homes shall ensure that staff authorized to employ restraints are validated annually
7	by a registered nurse as competent in the use of restraints and are required to complete
8	annually a refresher course in the use of restraints and alternatives to restraints. The
9	Commission shall adopt rules establishing minimum training and curriculum
10	requirements for the use of restraints and alternatives to restraints.
11	(e) <u>Adult care homes shall not use chemical restraint.</u>
12	(f) As used in this section, a resident's representative is a person designated under
13	$\underline{G.S. 131D-22.}$
14	(g) The Medical Care Commission shall adopt rules to implement this section. The
15	rules shall be at least as protective of residents of adult care homes as State and federal
16 17	laws, rules, and regulations governing the use of physical restraints in nursing homes."
17	Section 4. Article 2 of Chapter 122C of the General Statutes is amended by
18 19	adding the following new section to read:
19 20	" <u>§ 122C-31. Report required upon death of client.</u> (a) <u>A facility shall notify the Secretary immediately upon the death of any client of</u>
20 21	the facility. The Secretary may assess a civil penalty of not less than five hundred dollars
21	(\$500.00) and not more than one thousand dollars (\$1,000) against a facility that fails to
22	notify the Secretary of a death and the circumstances surrounding the death known to the
23 24	facility. Each day of a continuing violation of this subsection is a separate violation.
24 25	<u>Chapter 150B of the General Statutes governs the assessment of a penalty under this</u>
23 26	section. A civil penalty owed under this section may be recovered in a civil action
20 27	brought by the Secretary or the Attorney General. The clear proceeds of the penalty shall
28	be remitted to the State Treasurer for deposit in accordance with State law.
29	(b) Upon receipt of notification from a facility in accordance with subsection (a) of
30	this section, the Secretary shall notify the Governor's Advocacy Council for Persons With
31	Disabilities that a person with a disability has died. The Secretary shall provide the
32	Council access to the information about each death reported, including information
33	resulting from any investigation of the death by the Department and from reports
34	received from the Chief Medical Examiner pursuant to G.S. 130A-385. The Council shall
35	use the information in accordance with its powers and duties under G.S. 143B-403.1 and
36	applicable federal law and regulations.
37	(c) If the death of a client of a facility occurs within seven days of the use of
38	restraint, protective behavioral device, seclusion, or isolation time-out, the Secretary shall
39	initiate immediately an investigation of the death.
40	(d) An inpatient psychiatric unit of a hospital licensed under Chapter 131E of the
41	General Statutes shall comply with this section.
42	(e) Nothing in this section abrogates State law pertaining to the confidentiality of
43	information provided to the Secretary or the Council under this section. In carrying out

1	the requirements of this section, the Secretary and the Council shall adhere to State and
2	federal requirements of confidentiality applicable to the information received under this
3	section. A facility or provider that makes available confidential information in
4	accordance with this section and with State and federal law is not liable for the release of
5	the information."
6	Section 5. G.S. 130A-385 is amended by adding the following new subsection
7	to read:
8	"(f) If a death occurred in a facility licensed subject to Article 2 or Article 3 of
9	Chapter 122C of the General Statutes, or Articles 1 or 1A of Chapter 131D of the General
10	Statutes, and the deceased was a client or resident of the facility or a recipient of facility
11	services at the time of death, then the Chief Medical Examiner shall forward a copy of
12	the medical examiner's report to the Secretary of Health and Human Services within 30
13	days of receipt of the report from the medical examiner."
14	Section 6. Article 1A of Chapter 131D of the General Statutes is amended by
15	adding the following new section to read:
16	" <u>§ 131D-10.6B. Report of death.</u>
17	(a) <u>A facility licensed under this Article shall notify the Department immediately</u>
18	upon the death of any resident of the facility. The Department may assess a civil penalty
19	of not less than five hundred dollars (\$500.00) and not more than one thousand dollars
20	(\$1,000) against a facility that fails to notify the Department of a death and the
21	circumstances surrounding the death known to the facility. Each day of a continuing
22	violation of this subsection is a separate violation. Chapter 150B of the General Statutes
23	governs the assessment of a penalty under this section. A civil penalty owed under this
24	section may be recovered in a civil action brought by the Department or the Attorney
25	General. The clear proceeds of the penalty shall be remitted to the State Treasurer for
26	deposit in accordance with State law.
27	(b) Upon receipt of notification from a facility in accordance with subsection (a) of
28	this section, the Department shall notify the Governor's Advocacy Council for Persons
29	With Disabilities that a person with a disability has died. The Department shall provide
30	the Council access to the information about each death reported to the Council, including
31	information resulting from any investigation of the death by the Department, and from
32	reports received from the Chief Medical Examiner pursuant to G.S. 130A-385. The
33	Council shall use the information in accordance with its powers and duties under G.S.
34	143B-403.1 and applicable federal law and regulations.
35	(c) If the death of a resident of the facility occurs within seven days of the use of
36	physical restraint, the Department shall initiate immediately an investigation of the death.
37	(d) Nothing in this section abrogates State law pertaining to the confidentiality of
38	information provided to the Department or the Council under this section. In carrying out
39	the requirements of this section, the Department and the Council shall adhere to State and
40	federal requirements of confidentiality applicable to the information received under this
41	section. A facility or provider that makes available confidential information in
42	accordance with this section and with State and federal law is not liable for the release of
43	the information."

1	Section 7. Article 3 of Chapter 131D of the General Statutes is amended by
2	adding the following new section to read:
3	" <u>§ 131D-34.1. Report of death of resident.</u>
4	(a) An adult care home shall notify the Department of Health and Human Services
5	immediately upon the death of any resident that occurs in the adult care home or that
6	occurs within 24 hours of the resident's transfer to a hospital. The Department may
7	assess a civil penalty of not less than five hundred dollars (\$500.00) and not more than
8	one thousand dollars (\$1,000) against a facility that fails to notify the Department of a
9	death and the circumstances surrounding the death known to the facility. Each day of a
10	continuing violation of this subsection is a separate violation. Chapter 150B of the
11	General Statutes governs the assessment of a penalty under this section. A civil penalty
12	owed under this section may be recovered in a civil action brought by the Department or
13	the Attorney General. The clear proceeds of the penalty shall be remitted to the State
14	Treasurer for deposit in accordance with State law.
15	(b) Upon receipt of notification from an adult care home in accordance with
16	subsection (a) of this section, the Department of Health and Human Services shall notify
17	the Governor's Advocacy Council for Persons With Disabilities that a person with a
18	disability has died. The Department shall provide the Council access to the information
19	about each death reported, including information resulting from any investigation of the
20	death by the Department and from reports received from the Chief Medical Examiner
21	pursuant to G.S. 130A-385. The Council shall use the information in accordance with its
22	powers and duties under G.S. 143B-403.1 and applicable federal law and regulations.
23	(c) If the death of a resident of the adult care home occurs within seven days of the
24	use of physical restraint or physical hold, the Department shall initiate immediately an
25	investigation of the death.
26	(d) Nothing in this section abrogates State law pertaining to the confidentiality of
27	information provided to the Department or the Council under this section. In carrying out
28	the requirements of this section, the Department and the Council shall adhere to State and
29	federal requirements of confidentiality applicable to the information received under this
30	section. A facility or provider that makes available confidential information in
31	accordance with this section and with State and federal law is not liable for the release of
32	the information."
33	Section 8. This act becomes effective January 1, 2001.